

BANQUE PRIVEE ESPIRITO SANTO SA IN LIQUIDATION

Lodging of Claim in Luxembourg - Request for issuance of documents

This form is issued in connection with the bankruptcy of Banque Privée Espirito Santo SA in liquidation (**BPES**) and refers to Circular No. 8 issued by the liquidator. In accordance with the explanations contained in the relevant circular, former BPES clients wishing to pursue their rights against the companies of the now bankrupt Espirito Santo Group in Luxembourg (the **Companies concerned**) in connection with the fiduciary investments made by BPES in its name but on behalf of these former clients, may request the liquidator to issue the documents mentioned in point 3 of the aforementioned circular.

To do this, this form must be completed for each fiduciary investment for which documents are requested. It may therefore be necessary to submit several requests to the BPES liquidator.

The liquidator shall provide the documents upon receipt of this completed application signed by the authorised person(s) and subject to prior payment of a fee of CHF 200 per request to the bank account of BPES held with Banque Cantonale Vaudoise (BCV [Switzerland] - Swift: BCVLCH2LXXX; IBAN: CH44 0076 7000 H027 2315 0).

The documents may be issued only if the applicant holds the relevant position in its portfolio on the day of receipt of the request by the liquidator.

The form must be sent to the following address: Banque Privée Espirito Santo SA in liquidation, c/o Carrard Consulting SA, rue Pépinet 3, case postale 5671, 1002 Lausanne, Switzerland.

<u>Applicant Information</u>	
Surname/company name:	
First name:	
Home address/registered office address (street, city, zip code and country):	
No. of securities account with BPES:	

<u>Information about the fiduciary investment</u>	
Company concerned (counterparty):	
¹ Fiduciary investment concerned (name and reference no.):	

<u>Payment Information</u>	
Date of payment of the fee of CHF 200:	

Place and date:

²Signature:

¹ The specific indication of the relevant position is required, as contained in the portfolio statement.

² Signature of all authorized signatories on the related account with BPES.